



THE  
**CHAMPION**  
G R O U P

**Why Invest with a FINRA Registered Broker Dealer**  
*What does this mean for you?*

- SEC Registration
- Registered Representatives (Series 22 & 63, or 7)
- Escrow Agent
- Privacy Policy
- Regulatory Due Diligence Requirements
- Financial Audits
- Financial Operator Supervision
- Compliance Officer Supervision
- Continuing Education Requirements
- Regulatory Audits
- Offsite Backup Requirements